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Lean TQM Toolbox Series

Lean TQM Toolbox - Problem Solving & Root Cause Analysis Tools The Five Whys

Often, the most difficult part of resolving a problem is not coming up with the solution, but rather identifying the actual root cause. The 5-Whys is a tool that is designed to get to the root of what caused the problem

The objective is to then use "counter-measures," rather than solutions (A counter-measure is an action or set of actions that seeks to prevent the problem arising again, a solution may just seek to deal with the symptom)

The technique generally involves 5 steps:

- **Step 1**. Involve all stakeholders who may be affected by the problem in an open meeting
- **Step 2**. Nominate someone to lead the meeting and someone to document the findings
- **Step 3**. Express the "Problem" and then dig down through the causes by asking "why does this happen" five times.
 - Eg The vehicle will not start. (the problem)
 - Why #1? The battery is dead. (First why)
 - Why #2? The alternator is not functioning. (Second why)
 - Why #3? The alternator belt has broken. (Third why)
 - Why #4? The alternator belt was well beyond its useful service life and not replaced. (Fourth why)
 - Why #5? The vehicle was not maintained according to the recommended service schedule. (Fifth why, a root cause)
- **Step 4**. Agree on the best corrective action and assign responsibilities and timelines

Step 5. Share the results

It's important to note that the purpose of the 5 whys isn't to place blame, but rather to uncover the root cause of why something unexpected occurred OGISTICS